

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Group Art Unit:

2137

Gerald R. Malan, et al.

Examiner:

Shewaye Gelagay

Serial No.:

09/855,818

Filed:

May 15, 2001

For:

METHOD AND SYSTEM FOR PROTECTING PUBLICLY

ACCESSIBLE NETWORK COMPUTER SERVICES FROM UNDESIRABLE NETWORK TRAFFIC IN REAL-TIME

Attorney Docket No.: UOM 0206 PUSP

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(2)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance.

No item of information contained in this Statement was cited in a communication

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S/N: 09/855,818

from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Gerald R. Malan, et al.

ву:_____

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Attorney/Agent for Applicant

Date: December 5, 2005

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PTO/SB/08A (10-96) [MODIFIED]

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Under the Paperwork Reduction Ag of 1995, no persons equired to respond to a collection of information unless it contains a valid OMB control number. DEC 0 8 2005 Complete if Known Substitute for Form 1449A/PTO 09/855,818 **Application Number** INFORMATION DISCLO May 15, 2001 **Filing Date** STATEMENT BY APPEIC First Named Inventor Gerald R. Malan, et al. Group Art Unit 2137 (use as many sheets as necessary) Examiner Name Shewaye Gelagay 1 UOM 0206 PUSP Attorney Docket Number Sheet of

	U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	U.S. PATENT DOCUMENT Kind Code ² Number (<i>if known</i>)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		6,597,684		Gulati, et al.	07/22/2003		
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			Foreign Patent Do	cument		Date of Publication	Pages, Columns, Lines, Where Relevant	
Examiner Initials	Cite No.1	Office ³	Number 4	Kind Code⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
			-					

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.